

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Date: January 28, 2003

By: Eileen M. Janikowski
Eileen M. Janikowski

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	Examiner: Unknown	RECEIVED FEB 03 2003 Technology Center 2100
Anthony G. Martin and Eugene Veteska		
Serial No.: 10/057,413	Art Unit: 2152	
Filed: January 25, 2002		
Title: System, Method And Computer Program Product For Presenting Information To A User Utilizing Historical Information About The User		

Commissioner of Patents
Washington, DC 20231

**INFORMATION DISCLOSURE STATEMENT PURSUANT TO
37 CFR §1.97(b)**

Examiner:

In accordance with the duty of disclosure under 37 CFR §1.56 and pursuant to 37 CFR §§1.97-1.98, Applicant hereby notifies the U.S. Patent and Trademark Office of the references listed on the enclosed Form PTO-1449. One copy of each reference cited is submitted herewith.

The present Information Disclosure Statement is being filed more than three months after the filing date but before receiving the first Office Action. Accordingly, no fee or certification is needed.

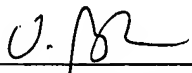
The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant reserves the right to dispute any of the listed documents as prior art during examination. Furthermore, Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application. The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made or that no other material information may exist.

The Examiner is requested to initial the enclosed Form PTO-1449 and return a copy thereof to the undersigned.

If for any reason an insufficient fee has been paid, please charge the insufficiency to Deposit Account No. 05-0150.

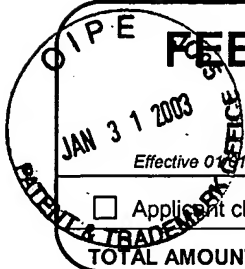
Date: January 28, 2003
Squire, Sanders & Dempsey L.L.P.
600 Hansen Way
Palo Alto, CA 94304-1043
Telephone (650) 856-6500
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Respectfully submitted,



Vidya R. Bhakar
Attorney for Applicants
Reg. No. 42,323

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FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 0

Complete if Known

Application Number 10/057,413

Filing Date January 25, 2002

First Named Inventor Anthony G. Martin

Examiner Name Unknown

Group / Art Unit 2152

Attorney Docket No. 50642.00022

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FEB 03 2003

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 05-0150

Deposit Account Name Squire, Sanders & Dempsey, L.L.P.

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	

SUBTOTAL (1)

(\$) 0

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims	-20 **	0		0
Independent Claims	-3 **	0		0
Multiple Dependent				0

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	84	2201	42	Independent claims in excess of 3
1203	280	2203	140	Multiple dependent claim, if not paid
1204	84	2204	42	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2)

(\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1,450	2254	725	Extension for reply within fourth month	
1255	1,970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,300	2453	650	Petition to revive - unintentional	
1501	1,300	2501	650	Utility issue fee (or reissue)	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$) 0

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Vidya R. Bhakar	Registration No. Attorney/Agent	42,323	Telephone	(650) 856-6500
Signature		Date	January 28, 2003		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

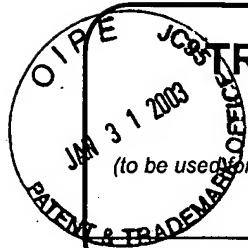
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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/057,413

Filing Date January 25, 2002

First Named Inventor Anthony G. Martin

Group Art Unit 2152

Examiner Name Unknown

Total Number of Pages in This Submission

N/A

Attorney Docket Number 50642.00022

Technology Center 2100

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FEB 03 2003

ENCLOSURES (check all that apply)

☒ Fee Transmittal Form (in duplicate)

☐ Amendment / Response

☐ With RCE

☐ After Final

☐ Affidavits/declaration(s)

☐ Extension of Time Request
(in duplicate)

☒ 11 Reference(s)

☒ IDS and Form 1449

☐ Certified Copy of Priority
Document(s)

☐ Response to Missing Parts/
Incomplete Application

☐ Declaration/Oath

☐ Assignment and Recordation Cover
Sheet (for an Application)

☐ Drawing(s) _____ Sheets

☐ Licensing-related Papers

☐ Petition

☐ Request for Continued Examination

☐ Power of Attorney

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) _____

☐ Request to Correct Filing Receipt

☐ Appeal Communication to Board of
Appeals and Interferences

☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)

☒ Return Postcard

☐ Status Letter

☐ Other Enclosure(s)
(please identify below):

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm
or
Individual name

Vidya R. Bhakar, Reg. No. 42,323
Squire, Sanders & Dempsey, L.L.P.
600 Hansen Way
Palo Alto, CA 94304

Signature

Date

January 28, 2003

CERTIFICATE OF MAILING

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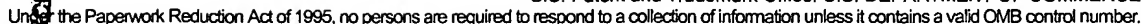
Eileen M. Janikowski

Signature

Date

January 28, 2003

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute form 1449A/PTO

(use as many sheets as necessary)

Complete if Known

Application Number	10/057,413
Filing Date	January 25, 2002
First Named Inventor	Anthony G. Martin, Eugene Veteska
Group Art Unit	2152
Examiner Name	Unknown
Attorney Docket Number	50642.00022

Sheet	1	of	1
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Examiner Initials *	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
		US- 5,848,396	12-08-1998	Gerace	
		US- 5,991,735	11-23-1999	Gerace	
		US- 6,088,731	07-11-2000	Kiraly et al.	
		US- 6,134,532	10-17-2000	Lazarus et al.	
		US- 6,286,043	09-04-2001	Cuomo et al.	
		US- 6,434,745	08-13-2002	Conley, Jr. et al.	
		US- 6,446,128	09-03-2002	Woods et al.	
		US- 2002/0059099	05-16-2002	Coletta	
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Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				
		WO 01/03028	01-11-2001	Zohar		
		WO 01/15052	03-01-2001	Louviere et al.		
		WO 01/39024	05-31-2001	Lipsky et al.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04.

³ Enter reign of the Emperor that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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